



# **Practice-based Evidence: Clinical IT Innovation as an Organizational Knowledge Asset**

Suzanne Bakken, RN, DNSc

The Alumni Professor of Nursing and Professor of Biomedical Informatics  
School of Nursing and Department of Biomedical Informatics  
Columbia University  
New York, New York

Nyborg, Denmark  
October 12, 2010

---

---

# Key Points

- Disciplines such as medicine, nursing, and respiratory therapy are practice-based, therefore, evidence should be generated from practice (i.e., practice-based evidence) as well as applied to practice from research studies
- IT has facilitated decision support for evidence-based practice
- Decision support can occur through IT artifacts other than alerts and reminders – these include smart documentation templates, order sets, and configurable user interfaces
- Clinical expertise is an essential element in the creation of such artifacts which can be viewed as a source of organizational knowledge
- Strategies needed for collecting, storing, and sharing of organizational knowledge assets



---

# Outline

- Evidence-based practice
- Decision support for evidence-based practice
- Case study of decision support for evidence-based practice and practice-based evidence generation
- Informatics support for practice-based evidence generation



---

# What is Evidence-based Practice?

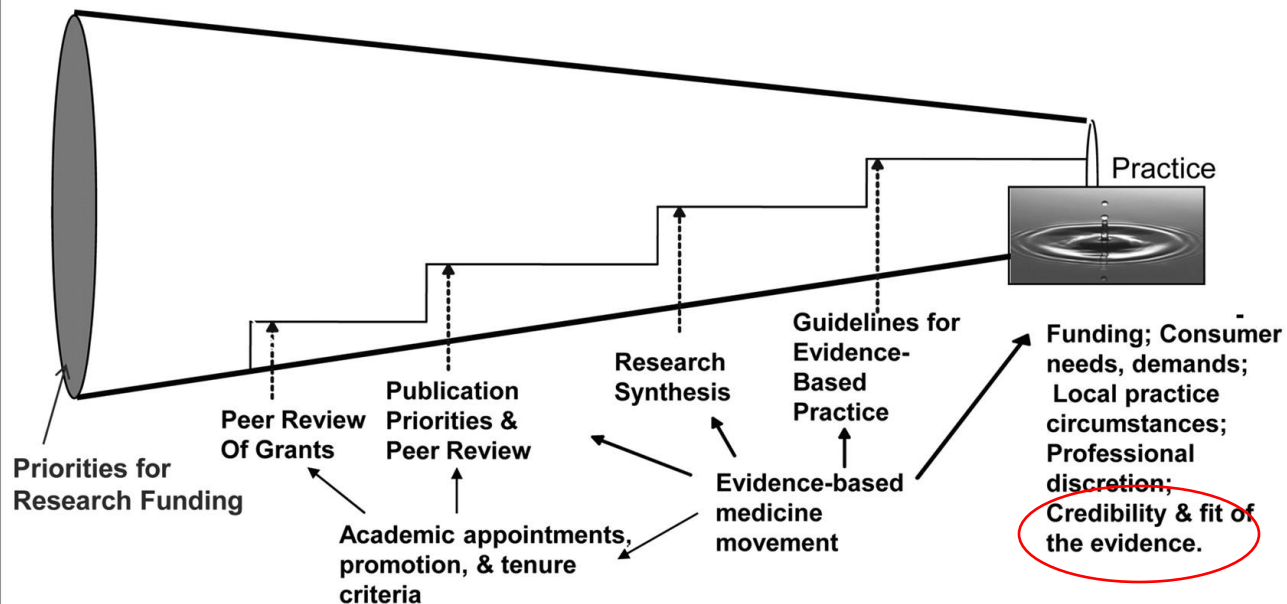
- Evidence-based medicine is the practice of making medical decisions through the judicious identification, evaluation, and application of the most relevant information

Estimated to take 17 years to implement 30% of research-based recommendations (IOM, 2001)



The pipeline conceptualization and implementation of transferring research to practice results in successive constrictions of the flow of knowledge and an 'evidence-based guideline' product at the practitioner end of the pipeline that has a poor fit with practice circumstances such as funding, time constraints and patient demands.

## The "Pipeline" Concept of Disseminating Research to Get Evidence-Based Practice\*



\*Based on Green, L.W. From research to "best practices" in other settings and populations. *Am J Health Behavior* 25:165-178, April-May 2001. Full text: [www.ajhb.org/25-3.htm](http://www.ajhb.org/25-3.htm)

Green L W Family Practice 2008;25:i20-i24

---

# What is Evidence-based Practice?

- Traditional conceptualizations
  - Research utilization
  - Clinical trials-based
  - Clinical practice guidelines
- Application of domain knowledge to patient care
  - Evidence as a continuum
  - Increasing respect for practice-based evidence



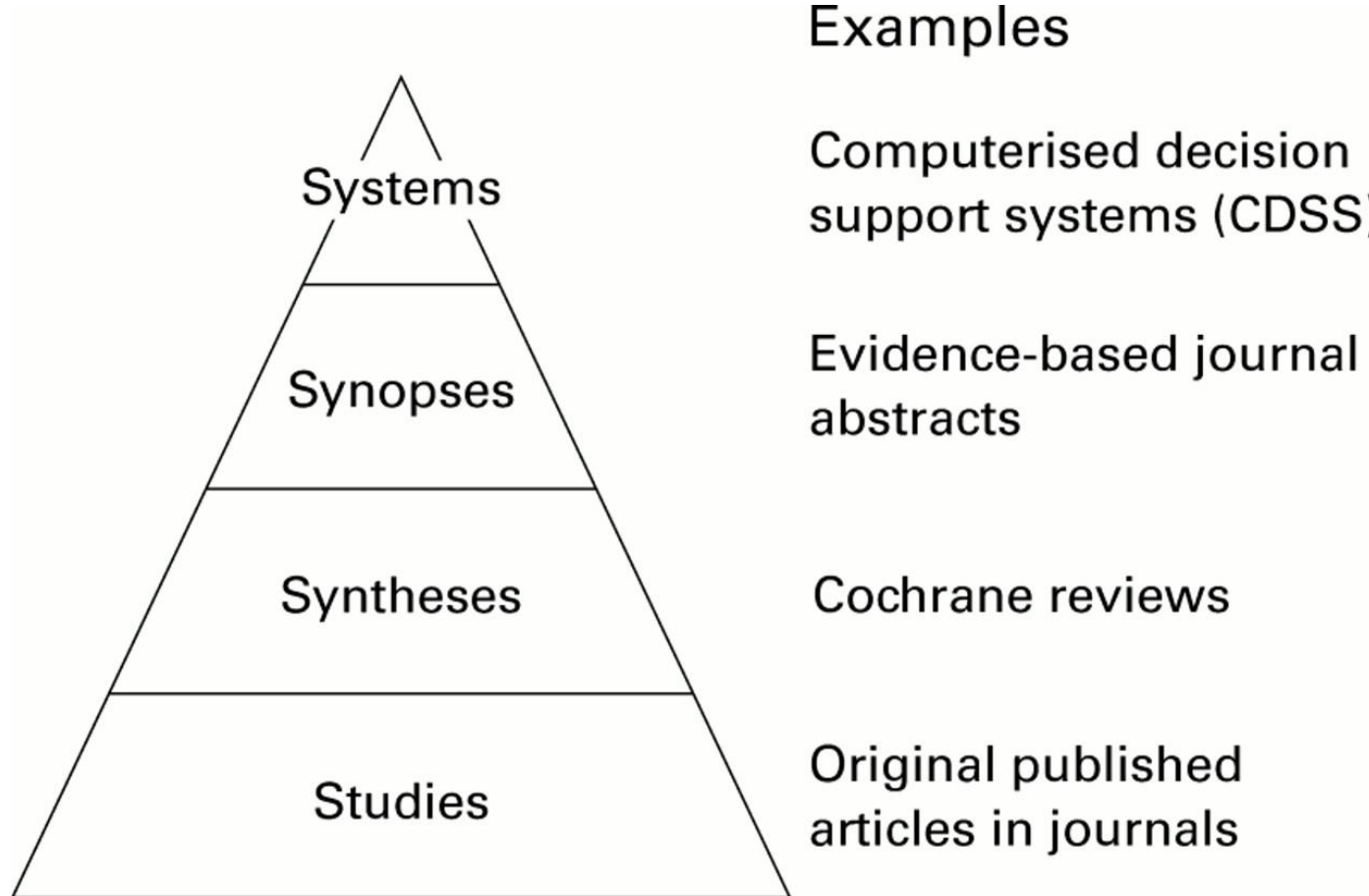
---

# What Are the Steps of EBP?

- Convert an information need into an answerable question
- Efficiently track down the best evidence
- Critically appraise the evidence for its validity (closeness to the truth) and usefulness (clinical applicability)
- **Apply the results in practice**
- Evaluate the impact of the practice implementation



# "4S" levels of Organisation of Evidence from Research



Haynes, R B. Evid Based Ment Health 2001;4:37-38



---

# Clinical Decision Support Systems

A clinical decision support system is any computer program designed to help health care professionals make clinical decisions (Shortliffe, 1987).

- Information management
- Focusing attention
- Patient-specific consultation



---

# Integration of Evidence into Clinical IT for Clinical Decision Support Also a Continuum

- Referential
- Executable
- Actionable



---

# Types of Decision Support Functions

- Tools for information management - provide data and knowledge needed by the clinician, but do not help apply that information to the task (e.g., Medline, Drug Reference Database, Infobuttons)



3131313 · SANDIEGO , CARMEN · 1951-05-26 · 52y F · (-) · (No attending)

[MRN](#) · [Name](#) · [List](#) · [Add to list](#)[Logout](#)[Lab summary](#)

Lab update

[12h](#) | [36h](#) | [72h](#) | [Days](#)[Admin summary](#)☒ All results☐ Before date[Laboratory](#) Feb 12[Radiology](#) Nov 12[Pathology](#) Nov 14[Disch Sum](#) 2002

Op/Clinical

[Operative](#) Oct 30[Consult](#) 1997

Clin Sum

[Neurophys](#) 1995[Ob/Gyn](#) Nov 17[GI Endo](#) 2002[Cardiology](#) 2003[HEENT](#) 1997[Pharmacy](#) 2001[PFT](#) Nov 18

Non-chart

[Alerts](#) Oct 20[Signout](#) Mar 12[Notes](#) Mar 16[DOP notes](#) 1999[Self Rep Lab](#) Nov 16Pharmacy · (2001-09-21 to 2000-12-02) · [Newer](#) · [Older](#)

| Ordered on       | Order   | Dose    | Freq  | Route | Status             |
|------------------|---|---------|-------|-------|--------------------|
| 2001-09-21 09:27 | <a href="#">ERYTHROPOIETIN INJ 40000 U/ML CF</a>    | 40000 U | TODAY | SC    | <a href="#">DC</a> |
| 2001-09-21 09:27 | <a href="#">ERYTHROPOIETIN INJ 40000 U/ML CF</a>    | 40000 U | TODAY | SC    | <a href="#">DC</a> |
| 2001-09-21 09:26 | <a href="#">POTASSIUM CL IVPB-PMIX 10 MEQ/100ML</a> | 10 MEQ  | 1DOSE | IV    | <a href="#">DC</a> |
| 2001-05-22 22:03 | <a href="#">CAPTOPRIL TAB 12.5 MG</a>               | 6.25 MG | 1DOSE | ORAL  | <a href="#">DC</a> |
| 2000-12-02 15:00 | <a href="#">COLYTE SOLN 4000 ML</a>                 | 1 EA    | SXDAY | ORAL  | <a href="#">DC</a> |
| 2000-12-02 15:00 | <a href="#">COLYTE SOLN 4000 ML</a>                 | 1 EA    | SXDAY | ORAL  | <a href="#">DC</a> |

## Questions of Interest

From the Columbia University [Infobutton Manager](#)®

Concept of Interest: **CAPTOPRIL TAB 12.5 MG**

Preferred Name for Searching: **Captopril**

Date of Patient Data: **2001-05-22-22.03**

Frequently Asked Questions:

- [What is the patient information from Lexi-Comp?](#)
- [What is the Spanish patient information from Lexi-Comp?](#)
- [What does it look like? \(from Lexi-Comp\)](#)
- [What are the forms and strengths according to Micromedex?](#)
- [What is the dosing and administration information from Micromedex?](#)
- [How do I treat overdosage according to Micromedex?](#)
- [What does this drug interact with according to Micromedex?](#)
- [What are the contraindications according to Micromedex?](#)
- [What are the adverse reactions according to Micromedex?](#)

Other Common Questions:

- [What does RxList say?](#)

Search Other [Resources](#):

- [UpToDate](#)
- [Harrisons Principles of Internal Medicine](#)

# LEXI-PALS™

Adult Patient Advisory Leaflet System



## Captopril (KAP toe pril)

**Marcas estadounidenses** Capoten®

**Marcas canadienses** Alt-Captopril; Apo®-Capto; Capoten®; Gen-Captopril; Novo-Captopril; Nu-Capto®; PMS-Captopril®

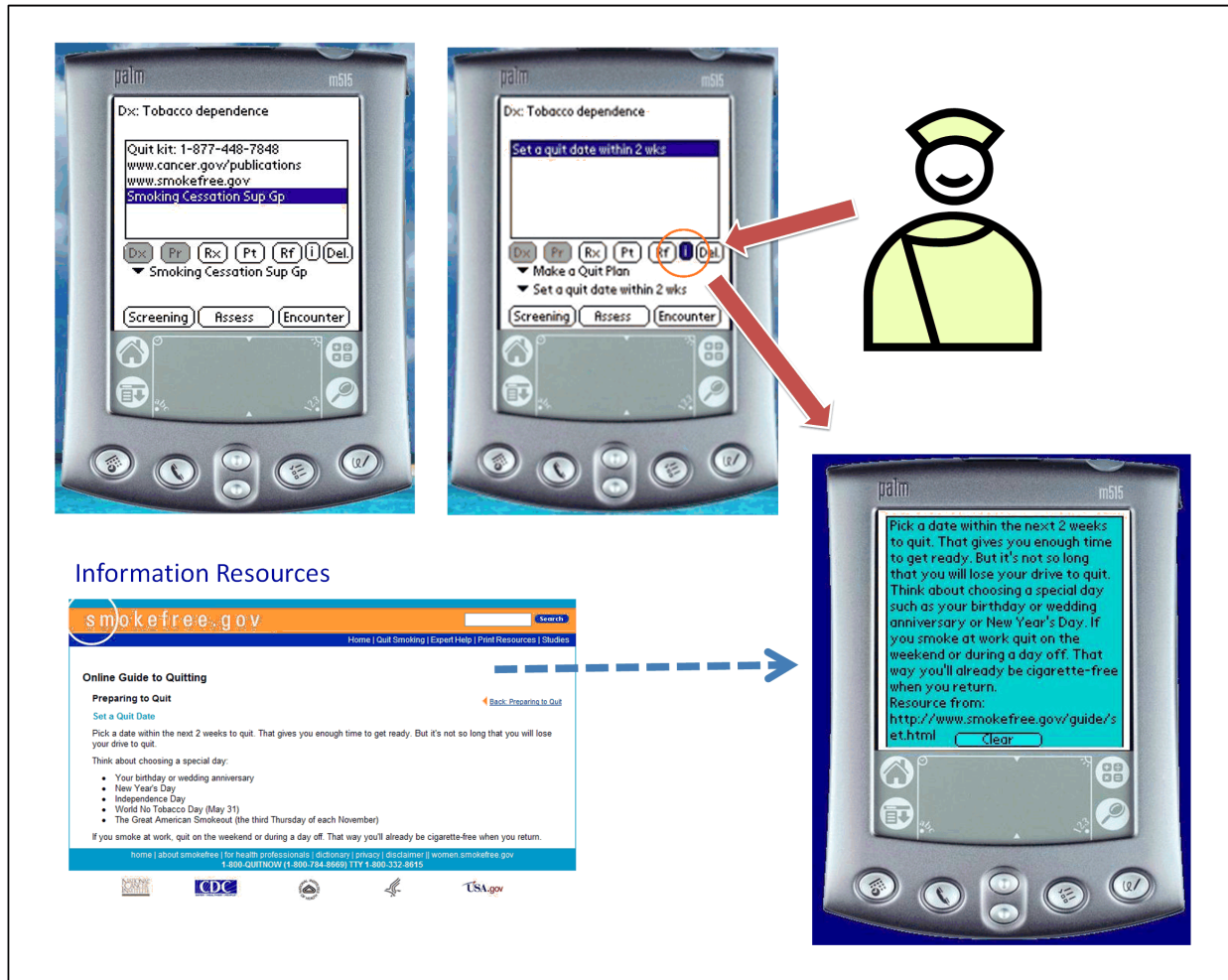
**Marcas mexicanas** Capital®; Capoten®; Capotena; Captral®; Cardipril®; Cryopril®; Ecapresan; Ecaten®; Kenolan®; Lenpryl®; Precaptil; Romir®

**Categoría terapéutica** Inhibidor de la enzima convertidora de la angiotensina (ECA)

**¿Qué advertencias debo tener en cuenta antes de tomar este medicamento?**

- No tome este medicamento si está embarazada. El consumo de este medicamento durante el segundo y tercer trimestre puede causar defectos de nacimiento. Si queda embarazada mientras está tomando este medicamento

# mHealth Infobuttons



open10012 open10105 Lab Composer Get Web page

## Lab

**MAGNESIUM(Allen) 2008-03-29 12:22**

| Test      | Result | Range   | Unit  |
|-----------|--------|---------|-------|
| MAGNESIUM | 2.3    | 1.5-2.3 | mg/dl |

Collection time: 2008-03-29 12:22 Received time: 2008-03-29 13:23

Last updated: 2008-03-29 14:01

Status: Final, Accno: 523611MGZ 083T

## DSUM

**CPMC Report: Discharge Summary Note 2008-03-31 16:30****CHIEF COMPLAINT**

SOB

**HISTORY OF PRESENT ILLNESS**

This is a 78 yo F with a h/o COPD, still smoking 1ppd, who presents with SOB and wheezing, onset the am PTA. She noted a slow increase in DOE over the last 3 days, but on the am PTA felt more SOB at rest and noted increasing cough productive of whitish sputum and tightness in her chest. She denies any Chest pain but reports some left lower back discomfort with cough or movement. She reports that this am, she noted

## Lab

**BASIC METABOLIC PNL(Allen) 2008-03-29 12:22**

| Test       | Result | Range   | Unit  |
|------------|--------|---------|-------|
| SODIUM     | 139    | 136-146 | mM/l  |
| POTASSIUM  | 4.4    | 3.6-5.0 | mM/l  |
| CHLORIDE   | 101    | 102-109 | mM/l  |
| CO2        | 24     | 25-33   | mM/l  |
| BUN        | 17     | 7-20    | mg/dl |
| GLUCOSE    | 86     | 70-110  | mg/dl |
| CREATININE | 1.0    | 0.5-0.9 | mg/dl |
| CALCIUM    | 9.1    | 8.4-9.8 | mg/dl |

Collection time: 2008-03-29 12:22 Received time: 2008-03-29 13:23

Last updated: 2008-03-29 14:01

Status: Final, Accno: 523611BMETZ 083T

**ABC(Allen) 2008-03-31 08:36****ABC(Allen) 3-31****ABC(Allen) 2008-03-31 08:36**

| Test       | Result | Range     | Unit                |
|------------|--------|-----------|---------------------|
| WBC        | 11.1   | 3.54-9.06 | 10 <sup>9</sup> /l  |
| RBC        | 4.09   | 4.00-5.20 | 10 <sup>12</sup> /l |
| HEMOGLOBIN | 12.9   | 12.0-15.8 | g/dl                |
| HCT        | 38.5   | 35.4-44.4 | %                   |
| MCV        | 94.1   | 79.0-93.3 | fl                  |
| MCH        | 31.5   | 26.7-31.9 | pg                  |
| MCHC       | 33.5   | 32.3-35.9 | g/dl                |

## Lab

**HEPATIC FUNC PANEL(Allen) 2008-03-28 01:15**

| Test         | Result | Range   | Unit  |
|--------------|--------|---------|-------|
| PROTEIN, TOT | 7.3    | 6.7-8.6 | g/dl  |
| ALBUMIN      | 4.4    | 4.0-5.0 | g/dl  |
| T-BILIRUBIN  | 0.7    | 0.3-1.3 | mg/dl |
| D-BILIRUBIN  | 0.1    | 0.0-0.4 | mg/dl |
| AST          | 14     | 12-38   | U/L   |
| ALT          | 8      | 7-41    | U/L   |
| ALK PHOS     | 106    | 33-96   | U/L   |

Collection time: 2008-03-28 01:15 Received time: 2008-03-28 01:43

Last updated: 2008-03-28 02:47

## Orders

**Amlodipine Oral 2007-08-13 09:48**

|                |                          |
|----------------|--------------------------|
| Order Name     | Amlodipine Oral          |
| Summaryline    | 10 MG PO Daily Routine   |
| Requested Time | 2007-08-13 09:48         |
| Entered Time   | 2007-08-13 09:48         |
| Stop Time      | 2007-08-14 18:24         |
| Performed Time |                          |
| Type           | Medication               |
| Title          | Pharmacy - Medication PO |
| Dosage         |                          |
| Uom            |                          |
| Frequency      | Daily                    |

**Custom Alerts****Hb A1C > 6**

See diabetes panel

**CDC Lyme Alert****NYCDOH Hb A1c****Incoming results**

Radiology available

## Orders

**(5) HubMed - COPD**

- Positive Expiratory Pressure in Patients with Chronic Obstructive Pulmonary Disease - A Systematic R
- Alcoholic Beverage Intake and Risk of Lung Cancer: The California Men's Health Study.
- Pulmonary Vascular Involvement in COPD.
- Tracheobronchoplasty for severe tracheobronchomalacia: a prospective outcome analysis.
- A quick and easy method of measuring the hypercapnic ventilatory response in patients with COPD.

**COAG PROFILE 2008-09-10 10:45**

| Test          | Result | Range     | Unit |
|---------------|--------|-----------|------|
| PT            | 11.5   | 12.4-15.0 | SEC  |
| INR           | 2.00   | 0.88-1.12 |      |
| APTT          | 25.6   | 23.3-32.3 | sec  |
| FIBRINOGEN    |        |           |      |
| THROMBIN TIME |        |           |      |



---

# Types of Decision Support Functions

- Tools for focusing attention - remind the user of problems that might otherwise be overlooked (e.g., abnormal lab values, potential drug interactions, guideline compliance)





---

# Alerts and Reminders

- Alerts

- Notification of potential problem
- Common application is adverse drug event prevention
  - Dosage
  - Drug-drug interaction
  - Allergy
  - Drug-laboratory value interaction
- Frequently tied to computer-based

How disruptive?  
Over ride rates?  
Actionable in workflow?

- Reminders

- Typically guideline-related
- Preventive care
  - Mammograms
  - Immunizations
  - Diabetic care
  - Hypertension management



# Fall and Injury Risk

- With existing tools, almost all patients at risk
- Sentinel event and root case analysis motivated organizational change
- New tool developed based on organizational data from CDW
- Implemented into two CIS
- Ongoing evaluation in practice

3131313 • SANDIE

Fall and Injury Risk

Assessment on

2004-09-21 13:14

2004-09-21 11:34

2004-09-21 11:23

2004-09-21 11:15

2004-09-21 11:00

Neurophys 1995

Ob/Gyn 2003

GI Endo 2002

Cardiology Jun 29

HEENT 1997

Pharmacy 2003

PFT 2003

Non-chart

Alerts Jul 20

Signout Sep 13

Notes Sep 21

DOP notes 1999

Self Rep Lab 2003

Self Rep VS 2003

Refresh dates

Sensitive

Diagnoses

Demographics

Insurance

Visits

Tele Visits

IDEATel Messaging

Providers

Out Pat Meds

Add Note

Fall Risk

All data

Feedback

How to print

Amicas

EzVac

IDEATel

Health Resources

On Call Consult

Physician Directory

### NYPH Fall and Injury Risk Assessment Form

This application is used to calculate Fall-Injury Risk Level and to document Nursing Safety Measures  
**MD/NPPA:** Please calculate the Fall-Injury Risk Level for this patient on admission, transfer and change in patient status.  
**Nursing:** Please calculate Fall-Injury Risk Level and document associated Nursing Safety Measures each shift.  
**Instructions:**  
 Select all items that are true for this patient. If the information is unknown to you, select "No"  
[Click here for detailed instructions](#)

**I. Select Fall Risk Items**

Sedatives\* (1 or more) ☐ Yes ☐ No

Fall(s) in past 7 days? ☐ Yes ☐ No

☐ Fell at home

☐ Fell this admission

Date of Last Fall:    2004

Impaired Mobility? (select all that are true) ☐ Yes ☐ No

☐ Unsteady gait

☐ Unable to get out of bed or chair

☐ Other mobility impairment

Using Assistive Device: ☐ Yes ☐ No

Impaired Cognition? (select all that are true) ☐ Yes ☐ No

☐ Disoriented and/or confused

☐ Unable to follow commands

☐ Impaired attention

Gender: Female

**II. Select Injury Risk Items**

Bleeding Risk? (select all that are true) ☐ Yes ☐ No

☐ Anticoagulant

☐ Coagulopathy

☐ Thrombocytopenia

☐ Platelet dysfunction

☐ Other bleeding risk

Fracture Risk? (select all that are true) ☐ Yes ☐ No

☐ Osteoporosis

☐ Bony metastases

☐ History of adult fracture

☐ Frailty

☐ Other fracture risk

Calculate Fall-Injury Risk Level



# Fall and Injury Risk Results Page

3131313 • SANDIEGO, CARMEN • 1951-05-26 • 53y F • (-) • (BARROWS, RANDOLPH)

[MRN](#) • [Name](#) • [List](#) • [Add to list](#)

Fall and Injury Risk Level • [Add](#) • [Assessment Sum](#) • [Safety Measures Sum](#) • [Newer](#) • [Older](#)

| Assessment on    | Fall Risk | Injury Risk | Fall-Injury Level         | Provider        | Safety Measures           | Provider        |
|------------------|-----------|-------------|---------------------------|-----------------|---------------------------|-----------------|
| 2004-09-21 13:14 | Mod       | High        | <a href="#">High</a>      | Li, Jianhua     | <a href="#">High</a>      | Li, Jianhua     |
| 2004-09-21 11:34 | High      | Low         | <a href="#">High</a>      | Bakken, Suzanne | <a href="#">High</a>      | Bakken, Suzanne |
| 2004-09-21 11:25 | High      | High        | <a href="#">Very High</a> | Bakken, Suzanne |                           |                 |
| 2004-09-21 11:19 | High      | High        | <a href="#">Very High</a> | Bakken, Suzanne | <a href="#">Very High</a> | Bakken, Suzanne |

## Fall-Injury Risk Assessment Results for 2004-09-21 11:25

**MD/NP/PA:** Select 'Assessment Sum' at top of page to view this patient's fall risk assessment summary

**Nursing:** Select 'Enter Safety Measures' and proceed to the next page

**Note:** See the Fall-Injury Risk Assessment Scoring Key below

| Fall Risk Items                                   | Possible Score |            | Current Score |
|---|----------------|------------|---------------|
| Sedatives   | Yes = 5        | No = 0     | Yes = 5       |
| Fall in Past 7 days                               | Yes = 4        | No = 0     | Yes = 4       |
| Impaired mobility, and Not using assistive device | Yes = 4        | No = 0     | Yes = 4       |
| Gender  | Male = 3       | Female = 0 | Female = 0    |
| Impaired Cognition                                | Yes = 2        | No = 0     | Yes = 2       |

**Fall Risk Score = High**

| Injury Risk Items | Possible Score |          | Current Score |
|-------------------|----------------|----------|---------------|
| Bleeding Risk     | Yes = High     | No = Low | Yes = High    |
| Fracture Risk     | Yes = High     | No = Low | No = Low      |

**Injury Risk = High**

**Total Fall Injury Risk = Very High**

Enter Safety Measures (Nursing Only)

Fall-Injury Risk Assessment Scoring Key



# Safety Measures Documentation

3131313 • SANDIEGO, CARMEN • 1951-05-26 • 53y F • (-) • (BARROWS, RANDOLPH)
MRN • Name • List • Add to list

Fall and Injury Risk Level • Add • Assessment Sum • Safety Measures Sum • Newer • Older

| Assessment on    | Fall Risk | Injury Risk | Fall-Injury Level         | Provider        | Safety Measures           | Provider        |
|------------------|-----------|-------------|---------------------------|-----------------|---------------------------|-----------------|
| 2004-09-21 13:14 | Mod       | High        | <a href="#">High</a>      | Li, Jianhua     | <a href="#">High</a>      | Li, Jianhua     |
| 2004-09-21 11:34 | High      | Low         | <a href="#">High</a>      | Bakken, Suzanne | <a href="#">High</a>      | Bakken, Suzanne |
| 2004-09-21 11:25 | High      | High        | <a href="#">Very High</a> | Bakken, Suzanne |                           |                 |
| 2004-09-21 11:19 | High      | High        | <a href="#">Very High</a> | Bakken, Suzanne | <a href="#">Very High</a> | Bakken, Suzanne |

### Nursing Safety Measure Documentation Form

**Instructions:**

1. Select all Additional Safety Measures that are relevant for this patient by clicking in the box next to the measure
2. To store the Safety Measures to the database, select 'Save Safety Measures'.

**Note:** Safety Measures that are required by the hospital policy are pre-selected and can be viewed by selecting the Standard Safety Measures listed below.

Date: 2004-09-21 11:25; Fall-Injury Risk Level = Very High

[Standard LOW RISK Safety Measures Implemented](#)

[Standard MODERATE RISK Safety Measures Implemented](#)

[Standard HIGH RISK Safety Measures Implemented](#)

[Standard VERY HIGH RISK Safety Measures Implemented](#)

**Additional MODERATE RISK Safety Measures, if appropriate:**

☐ Level of risk identified for the patient in the nurse's call bell system, if available

☐ Interpreter provided

☐ Three side rails in use (2 upper and 1 lower)

☐ Patient toileted at least every 2 hours

**Additional HIGH RISK Safety Measures, if appropriate:**

☐ Restraint in use (MD/NP/PA order required)

☐ Patient clustered 1:4

☐ Patient clustered 1:2

☐ Fall prevention bed alarm used, if available

☐ Fall prevention chair alarm used, if available

☐ Family member asked to stay with patient

☐ Need for Psychiatry consult discussed, see notes

☐ Need for Physical Therapy consult discussed, see notes

**Additional VERY HIGH RISK Safety Measures, if appropriate:**



---

# Types of Decision Support Functions

- Tools for patient-specific consultation - provide custom-tailored assessments or advice based on sets of patient-specific data
- Focus of early research in artificial intelligence and expert systems
  - Iliad, DxPlain, Quick Medical Reference, Consider, Reconsider
  - Mycin, Oncocin
- Alternate approaches
  - Smart documentation templates
  - Evidence-based, condition-specific order sets
  - User-configurable interfaces



# Case Study: Mobile Decision Support for Advanced Practice Nurses (MODS-APN)

- Decision support for evidence-based practice
- Evidence-based practice generation

- Advanced practice nurses

- Registered Nurse
- Master's or doctoral degree
  - Nurse Practitioner – pediatric
  - Certified Nurse Midwife
  - Nurse Anesthetist
- Scope of practice – medical and nursing
  - Prescriptive authority in all states
  - Must work with a physician in some states
  - Independent practice in New York State

**Standalone system**  
**Encounter-based**  
**Registered Nurses in APN training**  
**under supervision of a preceptor**  
**Generalizable lessons**



---

# **MODS-APN: Decision Support for Evidence-based Practice**

- Practice guideline decomposition
  - Algorithm development for screening and management
  - Creation of smart documentation template based upon conceptual model
- Representation of concepts in standardized terminologies
- Knowledge-based approach with two-way synchronization



---

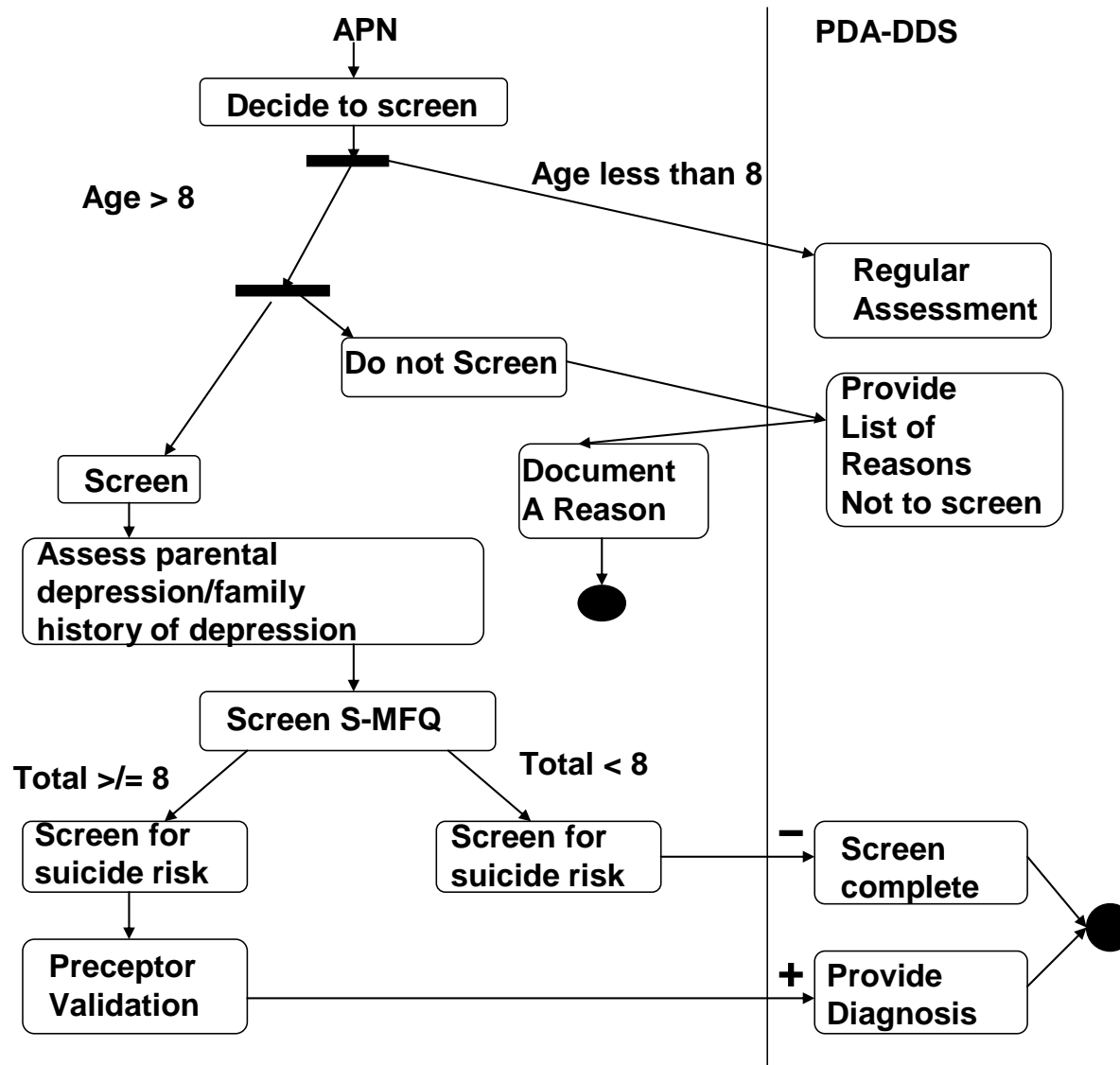
# MODS-APN: Depression

- Pediatrics
  - Mood and Feeling Questionnaire -> at risk for mood disorder
  - Additional questions related to family history of depression and to suicide -> at risk for suicide
- Adult
  - Patient Health Questionnaire (PHQ) 2
  - PHQ 9





# MODS-APN Pediatric Depression Algorithm



# MODS-APN Depression Summary and Plan

## Documentation-based Clinical Decision Support

**Patient Screening**

**Depression Summary**

Depression score = 13

Dx: Mild to moderate risk for Major Depressive Disorder.

Suicide Risk: No

Severe functional impairment: No

Special Population: Yes

**Create Depression Plan**

(Encounter) (Assess)

Dx: Mild dep risk

CBC w/diff  
Depression basics  
**Psychiatrist**

(Dx) (Pr) (Rx) (Pt) (Rf) (i) (Del.)

▼ Psychiatrist

(Screening) (Assess) (Encounter)

**Patient Screening**

**Depression Summary**

Depression score = 22

Dx: Moderately severe to severe risk for Major Depressive Disorder.

Suicide Risk: No

Severe functional impairment: Yes

Special Population: Yes

**Create Depression Plan**

(Encounter) (Assess)

Dx: Mod-sev dep risk/func imp

▼ Precptr does not agree with Dx

CBC w/diff  
Social support  
Psychiatrist  
**On site Behav health**

(Dx) (Pr) (Rx) (Pt) (Rf) (Del.)

▼ On site Behav health

(Screening) (Assess) (Encounter)



---

# MODS-APN Conceptual Model

- Columbia APN Plan of Care documentation
  - Diagnostics
  - Procedures
  - Prescriptions
  - Teaching
  - Referrals
- 5 A's of behavior change
  - Ask
  - Advise
  - Assess
  - Assist
  - Arrange



# MODS-APN Smoking Cessation: Ask/Advise/Assess

**Patient Screening**

|                         |                                      |                                     |
|-------------------------|--------------------------------------|-------------------------------------|
| Screen for Tobacco Use? | Yes <input type="radio"/>            | No <input type="radio"/>            |
| Pregnant or Lactating?  | Yes <input type="radio"/>            | No <input checked="" type="radio"/> |
| English Primary Lang.?  | Yes <input checked="" type="radio"/> | No <input type="radio"/>            |
| Current Tobacco Use?    | Yes <input type="radio"/>            | No <input type="radio"/>            |
| Willing to Quit?        | Yes <input checked="" type="radio"/> | No <input type="radio"/>            |

Screening Complete

Encounter

Assess

Assess Tobacco



# MODS-APN Smoking Cessation: Assist

Dx: Tobacco dependence

Wellbutrin

Dx Pr Rx Pt Rx Del.

▼ Make a Quit Plan

▼ Select Teaching

Screening Assess Encounter

Dx: Tobacco dependence

Wellbutrin

Dx Pr Rx Pt Rx Del.

Select Teaching

Set a quit date within 2 wks

Tell family, friends, coworkers

Remove tobacco products

Dx: Tobacco dependence

Wellbutrin

Tell family, friends, coworkers

Dx Pr Rx Pt Rx Del.

▼ Make a Quit Plan

▼ Tell family, friends, coworkers

Screening Assess Encounter



# MODS-APN Smoking Cessation: Arrange

Dx: Tobacco dependence

No Plans Entered for this Dx

**Dx** Pr Rx Pt Rf

Select Category

- 1st Line Drugs
- 2nd Line Drugs

Screening Assess Encounter

Dx: Tobacco dependence

Wellbutrin

Tell family, friends, coworkers

**Dx** Pr Rx Pt Rf Del

▼ Select Referral

Screening Assess Encounter

Dx: Tobacco dependence

No Plans Entered for this Dx

Select Medication

- Bupropion
- Wellbutrin
- Zyban
- Nicotine gum
- Nicotine inhaler
- Nicotine nasal spray
- Nicotine patch

Dx: Tobacco dependence

Wellbutrin

Tell family, friends, coworkers

Select Referral

- Tobacco cess clinic
- Tobacco cess hotline
- Tobacco cess web
- Smoking Cessation Sup Gp



---

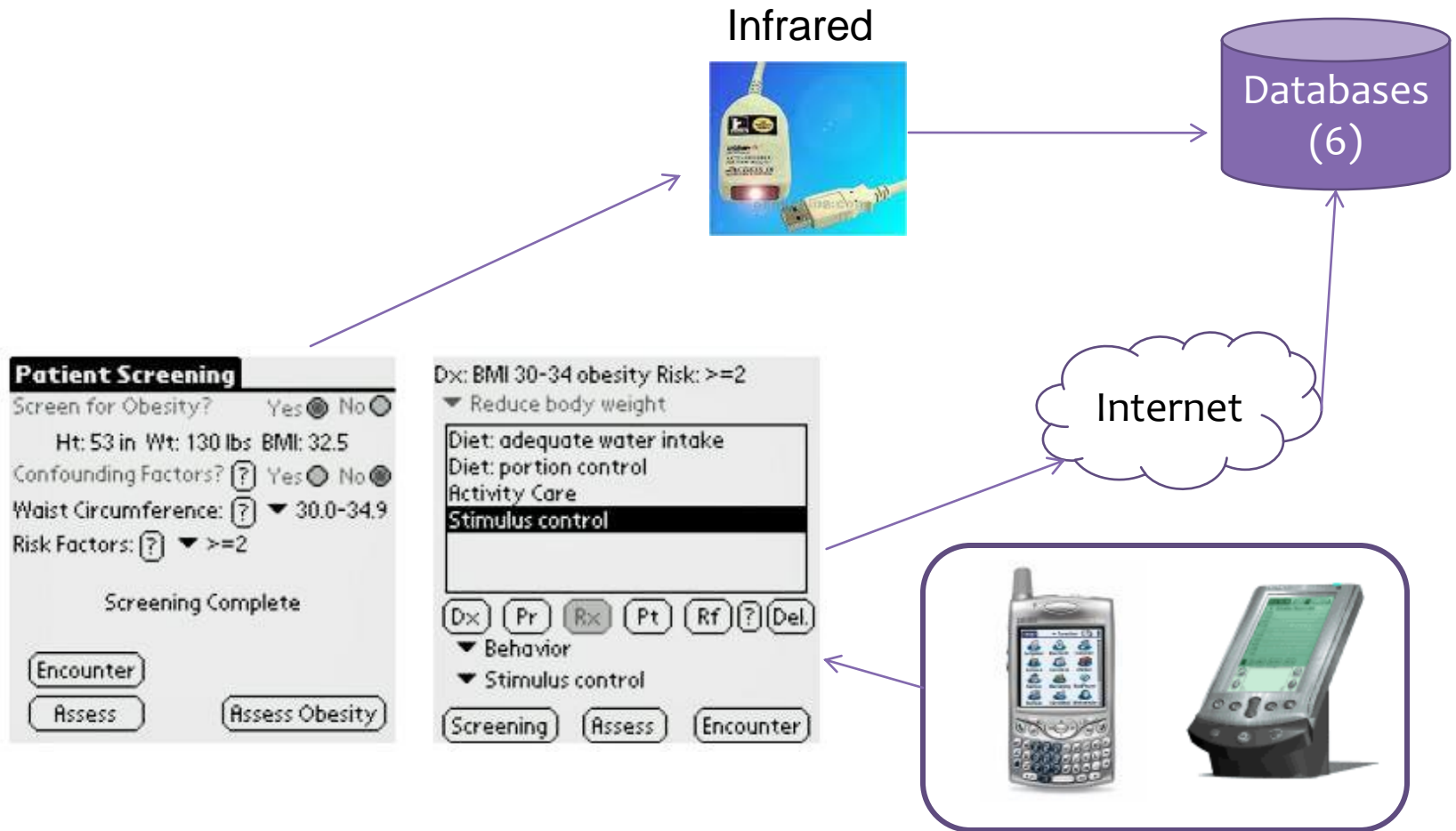
# **MODS-APN:**

## **Standardized Terminologies**

- Medical diagnoses – International Classification of Diseases
- Medical procedures – Current Procedural Terminology
- Nursing diagnoses, patient teaching, and referrals – Clinical Care Classification
- Medications – Unified Medical Language System



# MODS-APN: Technical Approach





# Organizational Knowledge Assets and Generalizable Lessons

- Algorithms, smart documentation templates, knowledge base for three topics (depression, obesity, smoking cessation)
- Decomposition approach including conceptual model
- Concepts coded in standardized terminology
- Clinical domain expertise essential since many judgments required in translating practice guideline into executable, actionable evidence for decision support application



---

# MODS-APN: Practice-based Evidence

- Randomized controlled trial (R01NR008903)
  - 3 arms – decision support for screening and guideline-based management of depression, obesity, or smoking cessation
  - Unit of analysis is clinical encounter
  - Subjects – nurses in APN training
  - Hypothesis – decision support as compared to no decision support will result in greater adherence to guideline
- Post-hoc application of an implementation science framework (RE-AIM)



# MODS-APN: RCT Results

- **Obesity**
  - N=30,845 encounters (E=10,938, C=19,907)
  - Screening rate = 43.7% (>age 2)
  - Missed diagnosis – 24.5% E vs. 66.5% C
  - Number of diagnoses – significantly greater E>C
  - Number of interventions - significantly greater E>C
- **Smoking Cessation**
  - N=23,625 encounters (E=7,874, C=15,751)
  - Screening rate = 75.6% (>age 8)
  - Number of diagnoses – significantly greater E>C
  - Number of interventions – no significant differences
- **Adult Depression**
  - N=10,779 encounters (E=4,343, C=6,436)
  - Screening rate = 51.5% (>age 17)
  - Number of diagnoses – significantly greater E>C (only 13 diagnoses in control group)
  - Interventions – no significant differences; influenced by small number of diagnoses in the control group
- **Pediatric Depression**
  - N=7,085 encounters (E=2,832, C=4,253)
  - Screening rate = 22.5% (age 8-17)
    - 20% of screened at risk for mood disorder
    - 4% of screened at risk for mood disorder and suicide
  - Number of diagnoses – significantly greater E>C
  - Number of interventions - significantly greater E>C



# Dissemination and Implementation

## Science

### • Reach, Efficacy/Effectiveness, Adoption, Implementation, Maintenance (RE-AIM)

- Reach - absolute number, proportion of target population willing to participate in a given program
- Efficacy/Effectiveness - extent to which a program or policy achieves potential positive or negative effects
- Adoption - absolute number of intervention agents (people, organizations) who are willing to implement a program
- Implementation - Setting level - extent to which a program or policy becomes institutionalized or part of the routine organizational practices and policies; individual level - long-term effects of a program on outcomes for 6 or more months after the most recent intervention contact
- Maintenance - Setting level - extent to which a program or policy becomes institutionalized or part of the routine organizational practices and policies; individual level - long-term effects of a program on outcomes for 6 or more months after the most recent intervention contact

Did program achieve key targeted outcomes?  
 Did it produce unintended adverse consequences?  
 How did it affect quality of life?  
 What did the program cost as implemented and what would it cost in your setting?  
 \*Did the intervention produce unintended positive consequences?  
 \*How did the intervention affect quality of care?

Did program achieve key targeted outcomes?  
 Did it produce unintended adverse consequences?  
 How did it affect quality of life?  
 What did the program cost as implemented and what would it cost in your setting?  
 \*Did the intervention produce unintended positive consequences?  
 \*How did the intervention affect quality of care?

How many staff members delivered the program?  
 Did different levels of staff implement the program successfully?  
 Were different program components delivered as intended?  
 \*What barriers to implementation (predisposing factors at individual, setting/organizational levels) were identified and how were they addressed?

Did program produce lasting effects at the individual level?  
 Did organizations sustain the program over time?  
 How did the program evolve?  
 Did the individuals and settings that showed most maintenance include those most in need?  
 \*What reinforcing factors (individual, setting/organizational level) were required to maintain the intervention?



# Automated data capture

What proportion of  
In what proportion of  
Were nurses who used  
Were the patient en  
the eligible patient e

What proportion of encounters in DSS vs. no DSS  
groups involved those who were Hispanic, African-  
American, or lacked private health insurance?  
In what proportion of eligible encounters did screening  
for depression, obesity, or smoking occur?  
Did DSS use vary by guideline?  
Did DSS use vary by specialty, site?

**Patient**

Patient ID: sbh ddd9999

Age: 10 Years

Gender: Female

Race: Black, not of Hispanic origin

Add Allergies (V)

Add Encounter Cancel Home

**Encounter**

Patient: sbh Enc Date: 9/8/07

Site: Select Site

Visit: Select Type

Payment: Select Payment

Disposition: Select Disposition

Ht: in Wt: lbs BP: /

CC (V) PMH (V) Meds (V)

Age: 10 Years

Screening Home

**Patient**

Screen for Depression

Hx Questions

Family history of depression

Yes No Don't Know

Parent currently depressed

Yes No Don't Know

(Next)

Depression questions

Q1-I felt miserable or unhappy

True Sometimes Not True

Q2-I didn't enjoy anything at all

True Sometimes Not True

Q3-I felt so tired I just sat around and did nothing

True Sometimes Not True

T

Were there differences in number  
guideline-related diagnoses in DSS  
no DSS groups?  
Were DSS functions used as intended?

Were there differences in number  
of guideline-related interventions in  
DSS vs. no DSS groups?  
Were DSS functions used as intended?

**Patient Screening**

Suicide Risk Questions

In the last three months, has there been a time when you thought seriously about killing yourself?

Yes No

Read First ?

Back Done

**Dx: At risk for mood disorder**

Comp metabolic panel

Dx Pr Rx Pt Rf Del

Comp metabolic panel

Screening Assess Encounter

**Dx: At risk for mood disorder**

Comp metabolic panel

Select Teaching

Understanding feelings

Depression and treatment

Social sup from family/friends

Sleep hygiene

Reason for referral

Contracting with child

Fam: monitor for suicide risk

Fam: basics of depression

Fam: reason for referral

Fam: mental health & insurance

**Dx: At risk for mood disorder**

Comp metabolic panel

Depression and treatment

Understanding feelings

Select Referral

Consult with child's subspecial

Med Social Worker Serv

Off site Behav health

On site Behav health

Psychiatrist

Sleep study consult

Substance Abuse

+ surveys, focus groups



---

# **Pediatric Depression Focus Group: Screening Benefits and Barriers**

- Prevent suicide
- Enables sharing of feelings
- Opportunity to give holistic care
- Helps to pick up depression
- Improved quality of care
- Time
- Perceived/real lack of referral resources
- Lack of preceptor knowledge and support
- Lack of knowledge of interventions
- PDA format
- Student discomfort with screening
- Cultural barriers



---

# Generalizable Lessons

- Efficacy/effectiveness - Evidence for approach in terms of screening and diagnosis; mixed results for interventions
- Automated capture of some RE-AIM dimensions facilitated post-hoc analysis of Reach, Efficacy, Adoption, and Implementation
- Post-hoc analysis using RE-AIM dimensions and additional data collection identified issues related to predisposing and enabling factors



---

# Enabling Health Care Decision Making through Health Information Technology

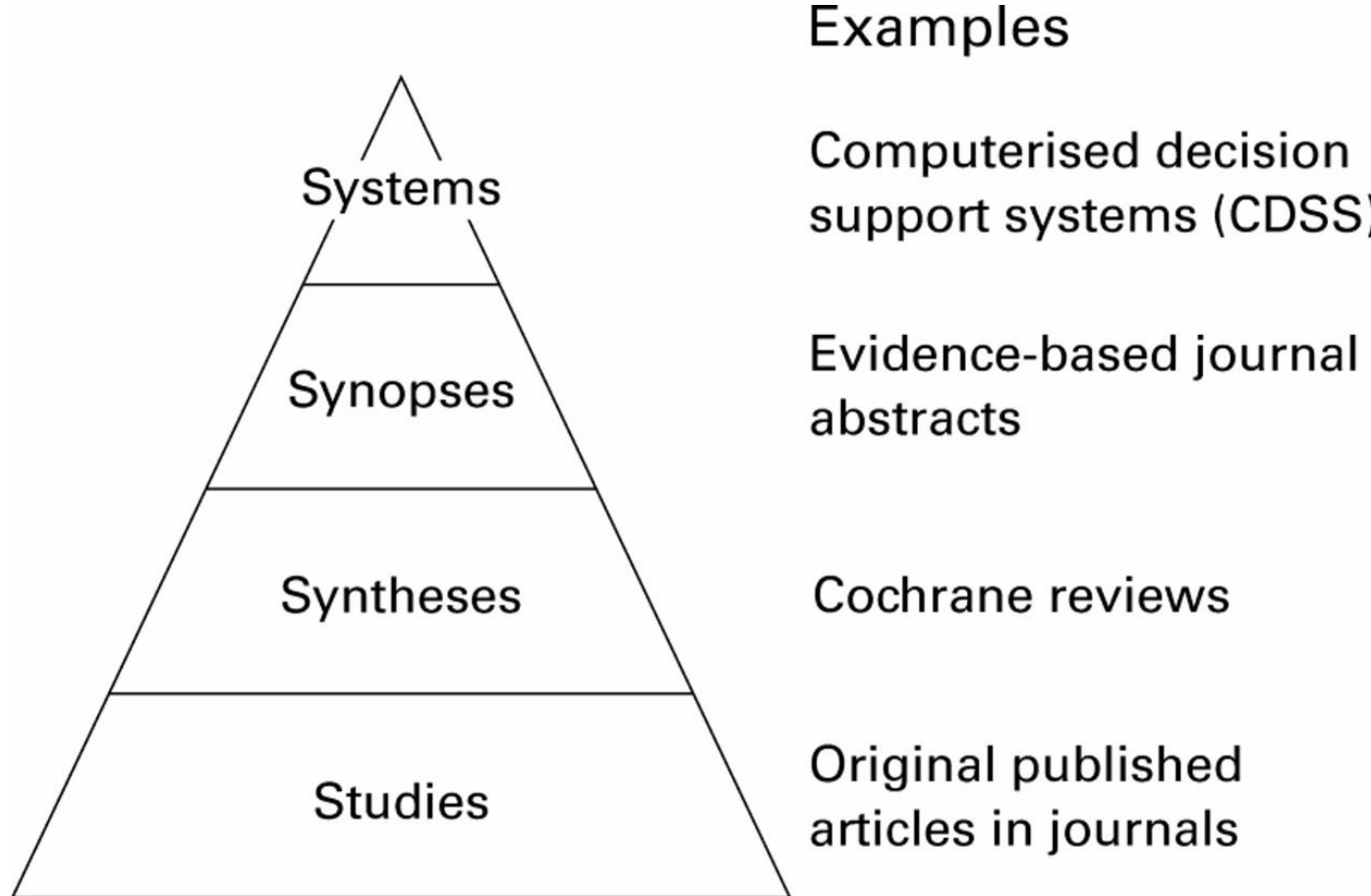
## AHRQ Evidence Report – Late 2010

- What evidence-based study designs can be used to determine the clinical effectiveness of clinical decision support systems (CDSS)?
- **What contextual factors/features influence the implementation and use of electronic knowledge management and CDSS?**
- What is the impact of introducing electronic knowledge management and CDSS?
  - Changes in the organization of health care delivery
  - Changes in the workload and efficiency for the user
  - Changes in process and clinical outcomes
- What generalizable knowledge can be integrated into electronic knowledge management and CDSS to improve health care quality?





# "4S" levels of Organisation of Evidence from Research



Haynes, R B. Evid Based Ment Health 2001;4:37-38

# Generation of Evidence from Practice

- 4<sup>th</sup> “S” – “Systems” also required, for example:

- Concept-oriented data dictionary
- Clinical Data Warehouse

Traumatic Brain Injury Prediction Rules  
for Children Using Computerized  
Clinical Decision Support: An  
Interrupted Time Series Trial

Data Mining

- Visualization and analytic tools
- Clinical decision support systems

Washington Heights  
Initiative Comparative  
Effectiveness Research  
(WICER)

MedWISE



---

# Key Points

- Disciplines such as medicine, nursing, and respiratory therapy are practice-based, therefore, evidence should be generated from practice (i.e., practice-based evidence) as well as applied to practice from research studies
- IT has facilitated decision support for evidence-based practice
- Decision support can occur through IT artifacts other than alerts and reminders – these include smart documentation templates, order sets, and configurable user interfaces
- Clinical expertise is an essential element in the creation of such artifacts which can be viewed as a source of organizational knowledge
- Strategies needed for collecting, storing, and sharing of organizational knowledge assets



---

# For Further Information

- [suzanne.bakken@dbmi.columbia.edu](mailto:suzanne.bakken@dbmi.columbia.edu)

- ## References

- Currie LM, Mellino LV, Cimino JJ, Li J, Bakken S. Requirements specification for automated fall and injury risk assessment. *Studies in Health Technology and Informatics* 2006; 122:134-138.
- Bakken S, Currie LM, Lee N-J, Roberts WD, Collins SA, Cimino JJ. Integrating evidence into clinical information systems for nursing decision support. *International Journal of Medical Informatics* 2008;77(6):413-20. PMC2426954
- John R, Buschman P, Chaszar M, Honig J, Mendonca E, Bakken S. Development and evaluation of a PDA-based decision support system for pediatric depression screening. *Studies in Health Technology and Informatics* 2007;129:1382-6.
- Lee N-J, Chen ES, Currie LM, Donovan M, Hall EK, Jia H, John R, Bakken S. The effect of a mobile clinical decision support system for the diagnosis of obesity and overweight in acute and primary care encounters. *Advances in Nursing Science* 2009;32(3):211-21.
- Collins SA, Currie LM, Bakken S, Cimino JJ. Information needs and Infobutton usability by user type. *Journal of the American Medical Informatics Association* 2009;16(1):140-142. PMC2605588
- Senathirajah Y, Bakken S. Architectural and usability considerations in the development of a Web 2.0-based EHR. *Studies in Health Technology and Informatics* 2009;143:315-21.
- Bakken S, Ruland CM. Translating clinical informatics interventions into routine care: How can the RE-AIM Framework help? *Journal of the American Medical Informatics Association* 2009;16(6):889-97.

